

ON AN EXPONENTIAL PREDICATE IN POLYNOMIALS OVER FINITE FIELDS¹

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ABSTRACT. We show that the theory of the set of polynomials in $\mathbb{F}_q[t]$, where \mathbb{F}_q is a finite field, in a language including addition and a predicate for the relation “ x is a power of t ”, is model complete, and therefore decidable.

1. INTRODUCTION

In what follows \mathbb{F}_q is a finite field, with $q = p^n$, p a prime; $\mathbb{F}_q[t]$ is the ring of polynomials over \mathbb{F}_q , while \mathbb{F}_q^* stands for $\mathbb{F}_q - \{0\}$ and $(\mathbb{F}_q[t])^*$ stands for $\mathbb{F}_q[t] - \{0\}$. By \mathbb{N} we denote the set of positive integers and let \mathbb{N}_0 be $\mathbb{N} \cup \{0\}$.

We investigate the theory of the structure $\mathcal{P}_q = (\mathbb{F}_q[t]; +; P; f_t; \mathbf{c}_1, \dots, \mathbf{c}_q; D_<)$, where $+$ denotes regular addition, t is a transcendental element over \mathbb{F}_q , $\mathbf{c}_1, \dots, \mathbf{c}_q$ are constant symbols for each element of \mathbb{F}_q , P is a one-place predicate for the relation $P(x)$ if and only if x is a power of t , $D_<$ is a two-place predicate for the relation $D_<(x, y)$ if and only if the degree of x is less than the degree of y and f_t is a one placed functional symbol interpreted by $f_t(x) = tx$ (in other words, we allow multiplication by t). For simplicity we use 0 instead of \mathbf{c}_1 , where 0 is interpreted in the usual way.

We show that the first-order theory of this structure is model-complete. This means that each first-order formula in the language of the structure is equivalent to an existential formula, i.e. a formula which consists of a finite sequence of existential quantifiers, followed by a formula without quantifiers. We obtain as a consequence that the first-order theory of this structure is decidable, that is, there is an algorithm which, given any formula, decides whether that is true or not. This gives a partial answer to the open question stated by Th. Pheidas, which is Open Problem (1) in the list below.

Since Goedel’s Incompleteness Theorem which asserts undecidability of the ring-theory of the rational integers, many researchers have investigated various rings of interest from the point of view of decidability of their theories. In [9] R. Robinson proved that the theory of a ring of polynomials $A[t]$ of the variable t in the language of rings, augmented by a symbol for t , is undecidable. Following the negative answer to ‘Hilbert’s Tenth Problem’, Denef in [2] and [3] showed that the existential theory of $A[t]$ is undecidable. Both of those results are actually stronger: In $A[t]$ one can interpret the rational integers; thus any polynomial ring encodes recursively all the difficulties associated to Number Theory. In consequence, decidability can be a property of theories weaker, only, than the ring theory of $A[t]$. The situation is

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analogous to the ring of integers: Since no general algorithms can exist for the ring theory of \mathbb{Z} , one can look into sub-theories that correspond to structures on \mathbb{Z} weaker than the ring structure. Two examples are due to L. Lipshitz in [4], that the existential theory of \mathbb{Z} in the language of addition and divisibility is decidable (but the full first order theory is undecidable) and due to A. Semenov in [12] and [11], that the elementary theory of addition and the function $n \rightarrow 2^n$ over \mathbb{Z} is decidable.

Other general elimination results for rings of polynomials include: Th. Pheidas showed in his Ph. D. Thesis that the existential theory of $(\mathbb{F}_q[t]; +; |; f_t; 0, 1)$ is decidable ($|$ denotes divisibility) - but the analogous problem for polynomials in two variables has an undecidable existential theory. Th. Pheidas and K. Zahidi showed that the theory of the structure $(\mathbb{F}_q[t]; +; x \rightarrow x^p; f_t; 0, 1)$ is model complete and therefore decidable ($x \rightarrow x^p$ is the Frobenius function). For surveys on decidability of rings the reader may consult [5], [6], [7] and [8].

Our intention is to prove that the structure \mathcal{P}_q has reasonable elimination properties and is decidable. We prove a stronger result. In Definition 2.1 we define a set of predicates $Q_{\bar{a}, m}$ (where \bar{a} are tuples of elements of $\mathbb{F}_q[t]$ and $m \in \mathbb{N}$), such that $Q_{1,1} \Leftrightarrow P$. With the help of the auxiliary predicates $C_{\bar{a}}$ (see Definition 2.1) we prove:

Theorem 1.1. *The theory of the structure*

$\mathcal{A}_Q = (\mathbb{F}_q[t]; +; \mathbf{c}_1, \dots, \mathbf{c}_q; f_t; \{Q_{\bar{a}, m} : \bar{a} \in ((\mathbb{F}_q[t])^*)^k, k, m \in \mathbb{N}\}; \{I_a : a \in (\mathbb{F}_q[t])^*\}; D_{<}; \{D_n : n \in \mathbb{N}\}; \{C_{\bar{a}} : \bar{a} \in ((\mathbb{F}_q[t])^*)^k : k \in \mathbb{N}\})$ *is model-complete and decidable. Moreover, there is a primitive recursive algorithm which, given any first-order formula of \mathcal{A}_Q , produces an equivalent existential formula.*

We obtain as a Corollary:

Theorem 1.2. *The theory of the structure \mathcal{P}_q is model-complete and decidable.*

Our approach towards achieving Theorem 1.2 is the following: In [10] we proved an analogue of the decidability of Presburger Arithmetic (the theory of the rational integers with addition, inequality and, for each fixed integer n , the relation $n|x$) for a ring of polynomials over a finite field; in our situation the language has predicates for addition, divisibility by fixed elements and inequality of degrees and we show that the resulting structure admits an effective elimination of quantifiers (every formula is equivalent to a quantifier-free formula). In Section 2 we extend our language by adding new relations. In the new language we are able to characterize in a simple way all existential formulas (Lemma 2.6). This characterization allows us to show that an existential formula is equivalent to a universal one. Model-completeness follows. Since $\mathbb{F}_q[t]$ is a recursively enumerable structure, it follows from a classical argument of Logic that the theory of \mathcal{P}_q is decidable.

We give the argument: Let σ be a sentence of \mathcal{P}_p . Then it is equivalent to an existential formula of \mathcal{P}_p , say ψ_1 . Similarly $\neg\sigma$ is equivalent to an existential formula of \mathcal{P}_p , say ψ_2 . Thus the set of all sentences which are true in \mathcal{P}_p , is a recursively enumerable set and its complement is also a recursively enumerable set. A well known theorem in theory of computation, see for instance [1], states that:

Theorem 1.3. *A set A is recursive if and only if A and its complement are both recursively enumerable.*

Hence the theory of \mathcal{P}_p is decidable.

A list of open problems.

- (1) (Th. Pheidas) Is the theory of the structure $(\mathbb{F}_q[t]; +; P; f_t; \mathbf{c}_1, \dots, \mathbf{c}_q; D_<; x \rightarrow x^P; \{ |_a \}_{a \in (\mathbb{F}_q[t])^*})$ decidable?
- (2) It is possible that our methods can be extended to the case of recursive algebraic extensions of \mathbb{F}_p instead of \mathbb{F}_q , but not to polynomial rings $A[t]$ with A containing elements transcendental over the prime subfield. This is because we use the fact that all finite extensions of \mathbb{F}_q have cyclic Galois groups. Is there a corresponding lemma about such rings, instead of Lemma 3.1 which will modify the algorithm for these rings?
- (3) For the same reason mentioned above, our methods are inadequate to show a similar result in characteristic zero. Again, is there a similar lemma to Lemma 3.1, for polynomial rings over a field with characteristic zero?

 2. AN ENRICHMENT FOR $(\mathbb{F}_q[t]; +; |_a; P; f_t; 0, 1)$

A few words on notation: by \wedge, \vee, \neg we mean the usual logical connectives, $\deg x$ stands for the degree of the polynomial x , $((\mathbb{F}_q[t])^*)^{<\mathbb{N}}$ is the set of finite sequences of elements of $(\mathbb{F}_q[t])^*$. In what follows, addition, multiplication and degree are meant in $\mathbb{F}_q[t]$.

We start by augmenting the language of the structure \mathcal{P}_q to a language L_Q .

Definition 2.1. *Let q and t be given. We define the language*

$$L_Q = \{+, \mathbf{c}_1, \dots, \mathbf{c}_q, t, f_t\} \cup \{Q_{\bar{a}, m} : \bar{a} \in ((\mathbb{F}_q[t])^*)^{<\mathbb{N}}, m \in \mathbb{N}\} \cup \{ |_a : a \in (\mathbb{F}_q[t])^* \} \cup \{D_<\} \cup \{D_n : n \in \mathbb{N}\} \cup \{C_{\bar{a}} : \bar{a} \in ((\mathbb{F}_q[t])^*)^{<\mathbb{N}}\}$$

where

- $\mathbf{c}_1, \dots, \mathbf{c}_q$ are constant symbols for each element of \mathbb{F}_q .

- For each $n, m \in \mathbb{N}$ and for each $\bar{a} = (a_1, \dots, a_n) \in ((\mathbb{F}_q[t])^*)^n$ the predicate $Q_{\bar{a}, m}(\omega)$ stands for

$$\begin{aligned} & \exists \bar{y} = (y_1, \dots, y_n) \\ & [a_1 y_1 + \dots + a_n y_n = \omega \wedge \bigwedge_{j=1, \dots, n} y_j \in \{t^{ms} : s \in \mathbb{N}_0\} \wedge \bigwedge_{j=1, \dots, n-1} \deg(a_j y_j) < \deg(a_{j+1} y_{j+1})]. \end{aligned}$$

- For each $n \in \mathbb{N}$ and for each $\bar{a} = (a_1, \dots, a_n) \in ((\mathbb{F}_q[t])^*)^n$ the predicate $C_{\bar{a}}(\omega)$ stands for

$$\begin{aligned} & \exists \bar{y} = (y_1, \dots, y_n) [\deg(a_1 y_1 + \dots + a_n y_n + \omega) < \deg(a_1 y_1) \wedge \\ & \bigwedge_{j=1, \dots, n} y_j \in \{t^s : s \in \mathbb{N}_0\} \wedge \deg(a_n y_n) = \deg(\omega) \wedge \bigwedge_{j=1, \dots, n-1} \deg(a_j y_j) < \deg(a_{j+1} y_{j+1})]. \end{aligned}$$

- For any $\omega_1, \omega_2 \in \mathbb{F}_q[t]$, $D_<(\omega_1, \omega_2)$ stands for “ $\deg \omega_1 < \deg \omega_2$ ”.
- For given $a \in \mathbb{F}_q[t]$, $|_a(\omega)$ stands for “ $\exists x(x \cdot a = \omega)$ ”.
- For given $n \in \mathbb{N}$, $D_n(\omega)$ stands for “ $n | \deg \omega$ ”.

It is easy to check that the relations of the language L_Q can be defined by formulas of $\{+, |_a; P; f_t; \mathbf{c}_1, \dots, \mathbf{c}_q; t, D_<\}$, and that $P(x) \Leftrightarrow Q_{1,1}(x)$. Thus, for shortness in our proofs, we may use the predicate P when needed.

We start with some properties of the predicate $Q_{\bar{a}, m}(\omega)$, which we will need later.

Remark 1. Given $\bar{a} = (a_1, \dots, a_n)$ such that $a_i \in \mathbb{F}_q^*$ for all i , ω can be written uniquely as a sum $\sum_i a_i y_i$, where y_i are powers of t , i.e., given $(a_1, \dots, a_{n_1}) \in (\mathbb{F}_q^*)^{n_1}$, $(b_1, \dots, b_{n_2}) \in (\mathbb{F}_q^*)^{n_2}$ such that

$$\begin{aligned}\omega &= \sum_{i \leq n_1} a_i y_i \wedge \bigwedge_i D_{<}(a_i y_i, a_{i+1} y_{i+1}) \bigwedge_i P(y_i), \\ \omega &= \sum_{i \leq n_2} b_i y'_i \wedge \bigwedge_i D_{<}(b_i y'_i, b_{i+1} y'_{i+1}) \bigwedge_i P(y'_i),\end{aligned}$$

implies that $n_1 = n_2$, $y_i = y'_i$ and $a_i = b_i$. We will call *length* the unique number n in the expansion of ω . In this case, i.e., for $\bar{a} \in (\mathbb{F}_q^*)^n$, $\neg Q_{\bar{a}, m}(\omega)$ is equivalent to a finite disjunction of existential formulae, which actually describes that ω has length other than n or it has the same length, but either has different coefficients or the degree of some y_i is not divisible by m .

This uniqueness does not always hold for arbitrary $\bar{a} \in \mathbb{F}_q^n[t]$. In our next lemma we show that there is a reduction of $Q_{\bar{a}, m}(\omega)$ to a universal existential formula in L_Q , where each relation $Q_{\bar{b}}$, has coefficients b_i in \mathbb{F}_q .

Lemma 2.2. *Let $\bar{a} \in ((\mathbb{F}_q[t])^*)^n$ and $m \in \mathbb{N}$. Then the formula $Q_{\bar{a}, m}(\omega)$ is equivalent to a universal formula in L_Q , such that each relation symbol $Q_{\bar{b}, k}$ that occurs in it has the properties that the components of \bar{b} are in \mathbb{F}_q^* and $k = 1$.*

Proof. Suppose that for $1 \leq i \leq n$, $a_i = \sum_j b_{ij} t^j \in \mathbb{F}_q[t]$ and $b_{ij} \in \mathbb{F}_q^*$ and denote by K the set of pairs of such indices (i, j) for which $b_{ij} \neq 0$. Let y_1, \dots, y_n be variables and let $m \in \mathbb{N}$. We consider a formula

$$\psi_\tau(\omega, \bar{y}) : \omega = a_1 y_1 + \dots + a_n y_n \wedge \bigwedge_i P(y_i) \wedge \bigwedge_i D_m(y_i) \bigwedge \theta \bigwedge \tau$$

where θ is a quantifier-free L_Q -formula which does not contain any occurrence of relation symbol Q , and τ is a set of conditions of the forms

- $\deg(t^j y_i) < \deg(t^h y_k)$, where $(i, j), (k, h) \in K$, and
- $\deg(t^j y_i) = \deg(t^h y_k)$, where $(i, j), (k, h) \in K$,

that impose a linear ordering on the degrees of the terms of the set $\{t^j y_i : b_{ij} \neq 0\}$ which implies $\bigwedge_i D_{<}(a_i y_i, a_{i+1} y_{i+1})$. We claim that $\exists \bar{y} \psi_\tau(\omega, \bar{y})$ is equivalent to a universal formula in L_Q , such that each relation symbol $Q_{\bar{b}, k}$ that occurs in it has the properties that the components of \bar{b} are in \mathbb{F}_q^* and $k = 1$. We will work by induction on n . The case $n = 1$ follows from the fact that if there exists some $y_1 \in \mathbb{F}_q[t]$ such that $\omega = \sum_j b_{1j} t^j y_1 \wedge P(y_1)$, then such y_1 is unique and the corresponding K is strictly ordered. Therefore $\exists y_1 \psi_\tau(\omega, y_1)$ is equivalent to

$$Q_{\bar{b}, 1}(\omega) \wedge \forall y_1 [\omega = \sum_j b_{1j} t^j y_1 \wedge P(y_1) \wedge \tau \rightarrow D_m(y_1) \wedge \theta],$$

where \bar{b} has components the b_{1j} , $(1, j) \in K$, ordered by the magnitude of j .

We proceed with the induction step. In case that τ contains a condition of the form $\deg(t^j y_i) = \deg(t^h y_k)$ then $\psi(\omega, \bar{y})$ is equivalent to the conjunction of the formula $y_i = t^{h-j} y_k$ and the formula that results from the substitution in $\psi_\tau(\omega, \bar{y})$ of the variable y_i by the expression $t^{h-j} y_k$ (clearing denominators if necessary). Then the result follows from the hypothesis of the induction. Hence, from now on we assume that τ contains only conditions of the form $\deg(t^j y_i) < \deg(t^h y_k)$, hence the degrees of the set $\{t^j y_i : b_{ij} \neq 0\}$ are assumed strictly ordered. Consider the

variables y_{ij} which are related to the variables of \bar{y} by $y_{ij} = t^j y_i$, for $(i, j) \in K$; consider the formula

$$S : \bigwedge_{(i,j),(i,k) \in K} (t^k y_{ij} = t^j y_{ik}) \wedge \bigwedge_{(i,j) \in K} m | (\deg(y_{ij}) - j)$$

which is implied by the definition of the y_{ij} (one may need to clear denominators in S in order to make it an L_Q -formula). Solve for the variables y_1, \dots, y_n in terms of the variables y_{ij} (there can be more than one way of doing this, choose any of those), replace each occurrence of each variable y_i in $\psi_\tau(\omega, \bar{y})$ by the corresponding expression of the variables y_{ij} only, to obtain a formula $\bar{\psi}_\tau(\omega, \{y_{ij}\}_{(i,j) \in K})$.

It is now obvious that the formulas $\exists \bar{y} \psi_\tau(\omega, \bar{y})$ and $\exists \{y_{ij}\}_{(i,j) \in K} [\bar{\psi}_\tau(\omega, \{y_{ij}\}_{(i,j) \in K}) \wedge S]$ are equivalent.

Observe that the formula $\bar{\psi}_\tau(\omega, \{y_{ij}\}_{(i,j) \in K})$ has the same form as $\psi_\tau(\omega, \bar{y})$, but

- a) the new coefficients a_i are elements of \mathbb{F}_q^* and
- b) the degrees of the new terms are strictly ordered (by τ).

By our remarks before the Lemma, if there are y_{ij} so that $\bar{\psi}_\tau(\omega, \{y_{ij}\}_{(i,j) \in K})$ holds true then those are unique. It then follows that

$$\begin{aligned} \exists \{y_{ij}\}_{(i,j) \in K} [\bar{\psi}_\tau(\omega, \{y_{ij}\}_{(i,j) \in K}) \wedge S] &\iff \\ Q_{\bar{b},1} \wedge \forall \{y_{ij}\}_{(i,j) \in K} [\omega = \sum_{(i,j) \in K} b_{ij} y_{ij} \wedge \bigwedge_{(i,j) \in K} P(y_{ij}) \wedge \tau \rightarrow S \wedge \theta], \end{aligned}$$

where \bar{b} has components the $b_{ij}, (i, j) \in K$, ordered by the corresponding ordering on $y_{ij}, (i, j) \in K$, by τ . Let T be the set of all linear orderings τ on the degrees of the terms of the set $\{t^j y_i : (i, j) \in K\}$ which implies $\bigwedge_i D_{<}(a_i y_i, a_{i+1} y_{i+1})$.

The statement of Lemma follows from the fact that $Q_{\bar{a},m}(\omega)$ is equivalent to the formula $\bigvee_{\tau \in T} \exists \bar{y} \psi_\tau(\omega, \bar{y})$. □

For shortness we define $D_{=}(\omega_1, \omega_2) : D_{<}(\omega_1, t\omega_2) \wedge D_{<}(\omega_2, t\omega_1)$,

$$D_{<k}(\omega_1, \omega_2) : D_{<}(t^{k-1}\omega_1, \omega_2), \quad k \in \mathbb{N}.$$

Next we obtain a similar result for the predicate $C_{\bar{a}}(\omega)$.

Lemma 2.3. *Let $\bar{a} = (a_1, \dots, a_n) \in ((\mathbb{F}_q[t])^*)^n$. Then the formula $\neg C_{\bar{a}}(\omega)$ is equivalent to an existential formula in L_Q .*

Proof. Let $\bar{a} = (a_1, \dots, a_n) \in (\mathbb{F}_q[t] \setminus \{0\})^n$ and $\omega \in \mathbb{F}_q[t]$. We define $a_0 = a_{n+1} = 0$.

Claim. The formula $\chi_{\bar{a}}(\omega)$

$$\exists \bar{y} = (y_1, \dots, y_n) \left[\bigwedge_{j=1}^n P(y_j) \wedge \deg(a_n y_n) = \deg(\omega) \wedge \bigwedge_{j=1}^{n-1} \deg(a_j y_j) < \deg(a_{j+1} y_{j+1}) \right]$$

is equivalent to the quantifier-free formula

$$Cnd_{\bar{a}}(\omega) : D_{<}(a_n, t\omega) \wedge D_{<}(t^0 a_{n-1}, \omega) \wedge \dots \wedge D_{<}(t^{n-2} a_1, \omega).$$

Proof of the claim. Assume that there are $y_j \in \{t^s : s \in \mathbb{N}_0\}$ such that $\deg(a_0 y_0) < \dots < \deg(a_n y_n) = \deg(\omega)$. The latter implies that $\deg(a_n) \leq \deg(\omega)$ and $\deg(a_{n-i}) <_i \deg(\omega)$, for all $i = 1, \dots, n$, i.e., $Cnd_{\bar{a}}(\omega)$ holds.

Conversely, assume that $Cnd_{\bar{a}}(\omega)$ holds. For $j = 1, \dots, n$ define $y_j = t^{\deg(\omega) - n + j - \deg(a_j)}$. Then it is easy to check that these y_j evaluate $\chi_{\bar{a}}(\omega)$. Note that this is not always a unique evaluation. \square

We proceed with the proof of Lemma. In the following Figure we illustrate the cases that arise if $Cnd_{\bar{a}}(\omega)$ holds. The figure can be viewed as a directed finite tree of vertices (formulae) with edges implications among formulae.

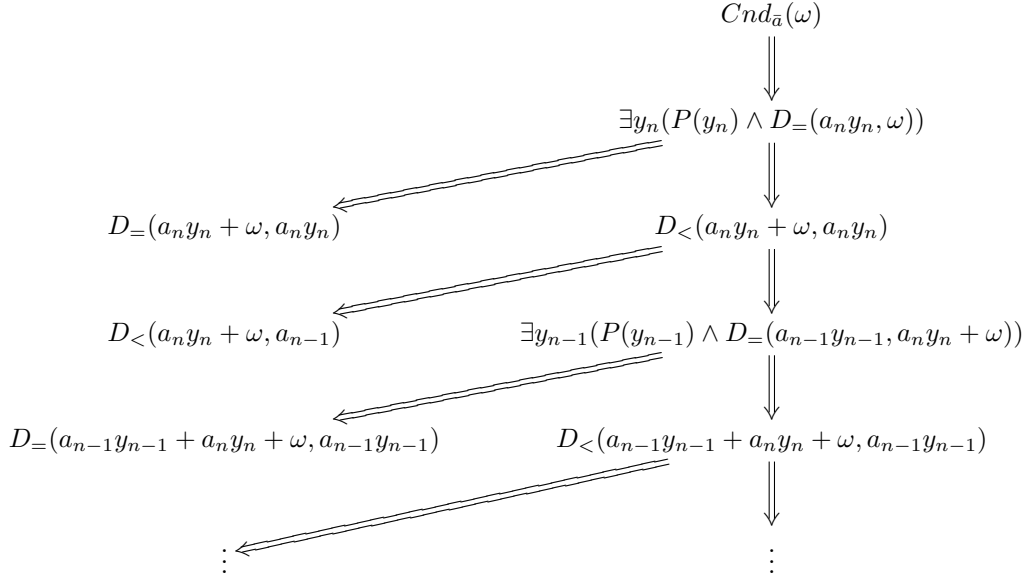


FIGURE 1. $Cnd_{\bar{a}}(\omega)$

Due to the Claim and to the analysis of Figure 1, we have that $\neg C_{\bar{a}}(\omega)$ holds if and only if either $\neg Cnd_{\bar{a}}(\omega)$ holds, or the left leaf of some level holds on the binary tree of Figure 1. Thus $\neg C_{\bar{a}}(\omega)$ is equivalent to

$$\neg Cnd_{\bar{a}}(\omega) \vee \exists \bar{y} = (y_0, \dots, y_{n+1}) \left[\bigwedge_{j=0}^{n+1} P(y_j) \wedge \left(\bigvee_{i_0=1}^n [h_{i_0}(\bar{y}, \omega) \wedge \bigwedge_{i=i_0}^n \psi_i(\bar{y}, \omega)] \right) \right],$$

where $h_{i_0}(\bar{y}, \omega)$ is the formula $D_{<}(a_{i_0} y_{i_0} + \dots + a_n y_n + \omega, a_{i_0-1}) \vee D_{=}(a_{i_0} y_{i_0} + \dots + a_n y_n, a_{i_0} y_{i_0})$

and the formula $\psi_i(\bar{y}, \omega)$ is $D_{=}(a_i y_i, a_{i+1} y_{i+1} + \dots + a_n y_n + \omega) \wedge D_{<}(a_i y_i + \dots + a_n y_n + \omega, a_i y_i)$. \square

We continue by giving a first description of an arbitrary existential formula in the language L_Q .

Lemma 2.4. *Every existential formula of L_Q is equivalent to a finite disjunction of formulas of the form*

$$(1) \quad \sigma(\bar{\omega}) : \sigma_0 \wedge \exists \bar{x} = (x_1, \dots, x_n) \exists \bar{y} = (y_1, \dots, y_m) (\sigma_1 \wedge \sigma_3 \wedge \sigma_4 \wedge \sigma_5 \wedge \sigma_6)$$

where σ_0 is a quantifier-free formula with parameters $\bar{\omega}$, and $\sigma_1, \dots, \sigma_6$ have the following forms:

$$(2) \quad \sigma_1(\bar{x}, \bar{y}, \bar{\omega}) : \bigwedge_i f_i(\bar{x}) + g_i(\bar{y}) = h_i(\bar{\omega}) ,$$

$$(3) \quad \sigma_3(\bar{y}) : \bigwedge_{j=1}^m P(y_j),$$

$$(4) \quad \sigma_4(\bar{x}, \bar{y}, \bar{\omega}) : \bigwedge_{\rho} D_{<}(\pi_{\rho}(\bar{x}, \bar{y}, \bar{\omega}), \pi'_{\rho}(\bar{x}, \bar{y}, \bar{\omega})) ,$$

$$(5) \quad \sigma_5(\bar{x}, \bar{y}, \bar{\omega}) : \bigwedge_{\lambda} |_{c_{\lambda}} (\chi_{\lambda}(\bar{x}, \bar{y}, \bar{\omega})) ,$$

$$(6) \quad \sigma_6(\bar{x}, \bar{\omega}) : \bigwedge_{\xi} D_{n_{\xi}}(\chi'_{\xi}(\bar{x}, \bar{\omega})) ,$$

where

$\omega_1, \dots, \omega_s$ are all the free (i.e. non-quantified) variables of σ and $\bar{\omega} = (\omega_1, \dots, \omega_s)$, each index among i, ρ, λ, ξ ranges over a finite set, each c_{λ} is a fixed element of $\mathbb{F}_q[t]$, each of $f_i, g_i, h_i, \pi_{\rho}, \pi'_{\rho}, \chi_{\lambda}, \chi'_{\xi}$ is a degree-one polynomial of the indicated variables over $\mathbb{F}_q[t]$, each of f_i, g_i is a homogeneous polynomial.

Proof. It is obvious that given an existential formula $\varphi(\bar{\omega}) = \exists \bar{x} \psi(\bar{x}, \bar{\omega})$, with free variables only among those of $\bar{\omega}$ and with ψ quantifier-free, the open formula $\psi(\bar{x}, \bar{\omega})$ can be written equivalently as a boolean combination of atomic formulae of the following forms:

$$D_{<}(\pi_1(\bar{x}, \bar{\omega}), \pi_2(\bar{x}, \bar{\omega})), c|\pi(\bar{x}, \bar{\omega}), D_n(\pi(\bar{x}, \bar{\omega})), Q_{\bar{a}, m}(\pi(\bar{x}, \bar{\omega})), C_{\bar{a}}(\pi(\bar{x}, \bar{\omega})), \pi(\bar{x}, \bar{\omega}) = 0,$$

where π, π_1 and π_2 are degree-one polynomials of the indicated variables, $c \in (\mathbb{F}_q[t])^*$ and $\bar{a} = (a_1, \dots, a_n) \in ((\mathbb{F}_q[t])^*)^n$. The details of this fact are easy and are left to the reader.

Therefore, in order to prove the Lemma, it suffices to show that the negation of an atomic formula of each of the first four kinds is equivalent to a positive (i.e. without negations) boolean combination of formulae of the forms of $\sigma_1, \dots, \sigma_6$. We have:

- $\pi(\bar{x}, \bar{\omega}) \neq 0$ is equivalent to $D_{<}(0, \pi(\bar{x}, \bar{\omega})) \vee \bigvee_{b \in \mathbb{F}_q^*} \pi(\bar{x}, \bar{\omega}) = b$.
- $\neg D_{<}(\pi_1, \pi_2)$ is equivalent to $D_{<}(\pi_2, \pi_1) \vee [D_{<}(\pi_1, t\pi_2) \wedge D_{<}(\pi_2, t\pi_1)]$.
- $c \nmid \pi(\bar{x}, \bar{\omega})$ is equivalent to $\bigvee_{\deg(r) < \deg(c), r \neq 0} c|\pi + r$.
- $\neg D_n(\pi)$ is equivalent to $\bigvee_{m < n, m \neq 0} D_n(\pi t^m)$.
- $\neg Q_{\bar{a}, m}(\pi)$, according to Lemma 2.2, is equivalent to a positive existential formula of L_Q .
- $\neg C_{\bar{a}}(\pi)$, according to Lemma 2.3, is equivalent to a positive existential formula of L_Q .

□

In [10] we proved the elimination of quantifiers in the sub-language $L_0 = \{+, 0, 1, t, f_t\} \cup \{|_a : a \in \mathbb{F}_q[t]\} \cup \{D_{<}\} \cup \{D_n : n \in \mathbb{N}\}$, i.e.,

Proposition 2.5. *Every formula of L_0 is equivalent to a quantifier-free formula of L_0 .*

Our next step is to eliminate the existentially quantified variables \bar{x} from the formulas σ of the conclusion of Lemma 2.4, so the existential quantifier is applied only to variables that are declared to be powers of t .

Lemma 2.6. *Every existential formula σ of L_Q is equivalent to a finite disjunction of formulas of the form*

$$(7) \quad \varphi(\bar{\omega}) : \varphi_0 \wedge \exists \bar{y}(\varphi_1 \wedge \varphi_3 \wedge \varphi_4 \wedge \varphi_5 \wedge \varphi_6)$$

where $\varphi_0(\bar{\omega})$ is a quantifier-free formula and the $\varphi_1, \dots, \varphi_6$ have the following forms

$$(8) \quad \varphi_1(\bar{y}, \bar{\omega}) : \bigwedge_i g_i(\bar{y}) = h_i(\bar{\omega}) ,$$

$$(9) \quad \varphi_3(\bar{y}) : \bigwedge_{j=1}^m P(y_j)$$

$$(10) \quad \varphi_4(\bar{y}, \bar{\omega}) : \bigwedge_{\rho} D_{<}(\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega}), \pi'_{1,\rho}(\bar{y}) + \pi'_{2,\rho}(\bar{\omega})) ,$$

$$(11) \quad \varphi_5(\bar{y}, \bar{\omega}) : \bigwedge_{\lambda} |_{c_\lambda} (\chi_{1,\lambda}(\bar{y}) + \chi_{2,\lambda}(\bar{\omega})) ,$$

$$(12) \quad \varphi_6(\bar{y}, \bar{\omega}) : \bigwedge_{\xi} D_{n_\xi}(\chi'_{1,\xi}(\bar{y}) + \chi'_{2,\xi}(\bar{\omega})) ,$$

where

$\bar{y} = (y_1, \dots, y_m)$, $\omega_1, \dots, \omega_s$ are all the free (i.e. non-quantified) variables of σ and $\bar{\omega} = (\omega_1, \dots, \omega_s)$, each index among i, ρ, λ, ξ ranges over a finite set, each c_λ is a fixed element of $\mathbb{F}_q[t]$, each of $g_i, h_i, \pi_{1,\rho}, \pi_{2,\rho}, \pi'_{1,\rho}, \pi'_{2,\rho}, \chi_{1,\lambda}, \chi_{2,\lambda}, \chi'_{1,\xi}, \chi'_{2,\xi}$ is a degree-one polynomial of the indicated variables over $\mathbb{F}_q[t]$, each of $g_i, \pi_{1,\rho}, \pi'_{1,\rho}, \chi_{1,\lambda}, \chi'_{1,\xi}$ is a homogeneous polynomial.

Proof. We may assume that σ is of the form given in the conclusion of Lemma 2.4. Our goal is to eliminate all the existentially quantified variables which are not declared to be power of t . Note that σ is equivalent to $\sigma_0 \wedge \exists \bar{y} = (y_1, \dots, y_m) [\sigma_3 \wedge \exists \bar{x} = (x_1, \dots, x_n) (\sigma_1 \wedge \sigma_4 \wedge \sigma_5 \wedge \sigma_6)]$. The formula $\psi(\bar{y}, \bar{\omega}) : \exists \bar{x} (\sigma_1 \wedge \sigma_4 \wedge \sigma_5 \wedge \sigma_6)$ is a formula in the sub-language $L_0 = \{+, 0, 1, t, f_t\} \cup \{ |_a : a \in \mathbb{F}_q[t] \} \cup \{ D_{<} \} \cup \{ D_n : n \in \mathbb{N} \}$.

Thus, according to Proposition 2.5, $\psi(\bar{y}, \bar{\omega})$ is equivalent to a quantifier-free formula in the language L_0 , and therefore in L . □

3. MODEL COMPLETENESS OF $\mathbb{F}_q[t]$ IN L_Q

In this Section we shall prove that any existential formula of L_Q is equivalent to a universal formula. This will complete the claim of Theorem 1.2 on model completeness. In order to prove this, we first show that the formula of type φ_5 can be omitted. Then we list several remarks which aim to show how to separate the variables appearing in φ (as given in Lemma 2.6) in two categories. The first category contains these variables which postulate uniqueness and the second category contains variables that can be eliminated.

At this point we state the following lemma, which is a known result in the theory of finite fields.

Lemma 3.1. *Let $a(t) \in \mathbb{F}_q[t]$ with $t \nmid a(t)$. Then there is some $m \in \mathbb{N}$ such that $a(t) \mid t^m - 1$.*

Proof. If $a(t)$ is irreducible and t does not divide a in $\mathbb{F}_q[t]$ then the ring $\mathbb{F}_q[t]/(a)$ is a finite field and the image of t under the natural morphism in that field is not the 0 element. Hence the image of t , as an element of the multiplicative group of that field has a finite order, say r . Then $a(t) \mid t^r - 1$.

Now let $a(t) \in \mathbb{F}_q[t]$ with $t \nmid a(t)$ and let $a(t) = a_1^{r_1}(t) \dots a_k^{r_k}(t)$, where $a_i(t)$ are irreducible polynomials. Thus $a_i(t) \mid t^{k_i} - 1$ for some finite and nonzero k_i . It follows that $a(t) \mid t^{\prod_i k_i} - 1$. \square

Lemma 3.2. *Assume that $c \mid ay + b$ holds for some $y = t^k$, for some $k \in \mathbb{N}_0$, where $a, b, c \in \mathbb{F}_q[t]$ and $t \nmid c$. Then for every $l \geq k$ there is a solution y' of $c \mid ay + b$, where y' is a power of t and $\deg(y') > l$.*

Proof. Having that $t \nmid c$, there is some $n > 0$ such that $c \mid t^n - 1$ (due to Lemma 3.1), i.e., $t^n \equiv 1 \pmod{c}$. Thus

$$c \mid ay + b \iff at^k \equiv -b \pmod{c} \iff at^k t^n \equiv -b \pmod{c} \iff c \mid ayt^n + b.$$

Therefore, we obtain another solution $y' = yt^n$, which is a power of t and with $\deg(y') > \deg(y)$. \square

Lemma 3.3. *In the conclusion of Lemma 2.6 the formula of type φ_5 can be omitted.*

Proof. It is enough to show that the formula

$$\psi(y) : c \mid ay + b \wedge P(y)$$

with $a, b, c \in \mathbb{F}_q[t]$, is equivalent to a quantifier-free formula with every relation containing the variable y belonging to $L \setminus \{[a : a \in \mathbb{F}_q[t]]\}$. Let $c = c_1 t^v$, with $t \nmid c_1$. Consider the following cases:

Case 1: $v = 0$. Then according to Lemma 3.2 there are either no solutions or infinitely many. In any case, it is enough to test $y = t^i$, with $i < n$, where n is the least positive integer as given in the conclusion of Lemma 3.1, i.e., $t^n \equiv 1 \pmod{c}$. Thus $\psi(y)$ is equivalent to

$$P(y) \wedge \bigvee_{0 \leq i \leq n-1} [D_n(t^{n-i}y) \wedge c \mid at^i + b].$$

Case 2: $v \neq 0$ and $t^v \nmid b$. Then there are finitely many possible solutions and $\psi(y)$ is equivalent to

$$\bigvee_{0 \leq i \leq v-1} [y = t^i \wedge c \mid at^i + b].$$

Case 3: $v \neq 0$ and $t^v \mid b$. Let $a = a_1 t^\mu$, $b = b_1 t^v$, where μ is the maximal power of t that divides a and is less or equal to v . Thus $\psi(y)$ is equivalent to

$$c_1 \mid a_1 y + b_1 \wedge P(y) \wedge D_{<}(t^{v-\mu}, ty).$$

Having that $t \nmid c_1$, we consider the least $n_1 \in \mathbb{N}$ from the conclusion of Lemma 3.1 such that $t^{n_1} \equiv 1 \pmod{c_1}$. Therefore $\psi(y)$ is equivalent to

$$P(y) \wedge D_{<}(t^{v-\mu}, ty) \wedge \bigvee_{0 \leq i \leq n_1-1} [D_{n_1}(t^{n_1-i}y) \wedge c_1 \mid a_1 t^i + b_1].$$

□

We establish a technical fact which will be crucial in what follows.

Proposition 3.4. *Assume that $a_j \in (\mathbb{F}_q[t])^*$ and that $Q_{\bar{a},m}(\omega)$ holds, with $\bar{a}=(a_1, \dots, a_n)$. Then there is a unique $\bar{y}=(y_1, \dots, y_n)$ such that*

$$[a_1y_1 + \dots + a_ny_n = \omega \wedge \bigwedge_{j=1, \dots, n} y_j \in \{t^{ms} : s \in \mathbb{N}_0, \} \wedge \bigwedge_{j=1, \dots, n-1} \deg(a_jy_j) < \deg(a_{j+1}y_{j+1})].$$

Proof. Assume that $\bar{y}=(y_1, \dots, y_n)$ such that

$$[a_1y_1 + \dots + a_ny_n = \omega \wedge \bigwedge_{j=1, \dots, n} y_j \in \{t^{ms} : s \in \mathbb{N}_0, \} \wedge \bigwedge_{j=1, \dots, n-1} \deg(a_jy_j) < \deg(a_{j+1}y_{j+1})]$$

and so does $\bar{y}'=(y'_1, \dots, y'_n)$. Then $\deg(a_ny_n) = \deg(a_1y_1 + \dots + a_ny_n) = \deg(a_1y'_1 + \dots + a_ny'_n) = \deg(a_ny'_n)$. So $\deg(y_n) = \deg(y'_n)$, hence $y_n = y'_n$. The conclusion follows by induction on n . □

Remarks

Throughout the remarks of the next few paragraphs we consider a relation R over $\mathbb{F}_q[t]$ defined by a formula $\exists y\varphi$, which is as in the conclusion of Lemma 2.6 but with the sub-formula φ_5 omitted. We will transform φ into an equivalent one (i.e. a definition of R as well), of a concrete form.

1. **H1:** *We let K be a set of terms of the form ay_i where $a \in (\mathbb{F}_q[t])^*$ and y_i is a variable, with the following property: each of the terms $g_i, \pi_{1,\rho}, \pi'_{1,\rho}, \chi'_{1,\xi}$, mentioned in the conclusion of Lemma 2.6, is a sum of elements of K .*

Let Ω be a set of the terms $h_i, \pi_{2,\rho}, \pi'_{2,\rho}, \chi'_{2,\xi}$, mentioned in the conclusion of Lemma 2.6.

2. Assume that the formula φ_4 implies a formula equivalent to the formula $D=(ay_i, by_j)$ for some terms ay_i and by_j of K , with $i \neq j$. This implies

- (I) $y_i = t^{\deg(b)-\deg(a)}y_j$, if $\deg(a) \leq \deg(b)$ and
- (II) $y_i = t^{\deg(a)-\deg(b)}y_j$, otherwise.

Hence we can replace y_i by terms which involve only the remaining variables and obtain a new definition of the relation R with fewer variables. Thus, taking a disjunction over all strict orderings of the degrees of the elements of K , we assume that the formula φ_4 implies a strict ordering of the terms of K . In a similar manner we augment the latter ordering to a linear ordering of the set $K \cup \Omega$. Now observe that this ordering implies that the degree of each of the terms $g_i, \pi_{1,\rho}, \pi'_{1,\rho}, \chi'_{1,\xi}$, mentioned in the conclusion of Lemma 2.6, is equal to the degree of a specific element of K (recall that each y_i is assumed to be a power of t). Note that the ordering on the degrees of terms of $K \cup \Omega$ need not be strict.

Next, we observe that if the ordering of degrees of elements of K implies $\deg(ay_i) < \deg(by_j) < \deg(cy_i)$ then we obtain as a conclusion that the possible values of $\deg(y_j)$ are in the (finite) set $\{\deg(y_i) + \deg(a) - \deg(b) + 1, \dots, \deg(y_i) + \deg(c) - \deg(b) - 1\}$, which again allows elimination of the variable y_j , as above. Taking a disjunction over the possible cases we assume without loss of generality that this situation does not occur. We re-enumerate the variables of y in accordance with the ordering of K . We replace the formula φ_4 in φ by a formula $\varphi_4 \wedge \varphi'_4$, where φ'_4 states the above-mentioned ordering of $K \cup \Omega$, i.e.

H2: The formula φ'_4 is

$$\bigwedge_{i=1}^{m-1} D_{<}(a_i y_i, a_{i+1} y_{i+1}) \wedge \bigwedge_k D_{<}(b_k y_k, \omega_k) \wedge \bigwedge_r D_{<}(\omega'_r, c_r y_r) \wedge \bigwedge_\ell D_{=}(\omega_\ell y_\ell, \omega''_\ell)$$

where $a_i, b_k, c_r, d_\ell \in (\mathbb{F}_q[t])^*$, and $\omega_k, \omega'_r, \omega''_\ell \in \Omega$ and $\varphi_4 \wedge \varphi'_4$ imply a linear ordering τ of the degrees of elements of $K \cup \Omega$ whose restriction to the degrees of elements of K is a strict ordering.

3. A variable y_i whose degree, according to τ , is bounded above by the degree of a linear combination of terms in Ω , will be called *bounded*, and, otherwise, will be called *unbounded*.

Observe that an upper bound for the degree of a variable y_i is implied by each equation in which y_i occurs: The degree of the right-hand side of such an equation is an upper bound because there are no cancellations among the highest-degree terms of the elements of K which occur on the left-hand side. Thus we may assume without loss of generality that

H3: Every variable that occurs in an equation (in φ_1), with non-zero coefficient, is bounded.

4. Next, assume that $e_m y_m$ is the element of K of highest degree and assume that y_m is an unbounded variable. It is obvious that y_m can not occur in an atomic formula of the form $D_{<}(a y_m, \dots)$ (with y_m occurring on the left). Let $a' y_m$ be the term that occurs in $\chi'_{1,\xi}(\bar{y})$ with $a' \neq 0$. Then we have that $D_{n_\xi}(\chi'_{1,\xi}(\bar{y}) + \chi'_{2,\xi}(\bar{\omega}))$ is equivalent to $D_{n_\xi}(a' y_m)$. Therefore y_m occurs only in atomic formulae of the form $P(y_m)$, $D_{<}(\dots, a y_m)$ and $D_{n_\xi}(a y_m)$. Let $D_{n_{\xi_1}}(a_1 y_m), \dots, D_{n_{\xi_f}}(a_f y_m)$ be all the atomic formulae of the form $D_{n_\xi}(a y_m)$ where y_m occurs.

If there is \bar{y}_m such that

$$(13) \quad D_{n_{\xi_1}}(a_1 y_m) \wedge \dots \wedge D_{n_{\xi_f}}(a_f y_m)$$

is true for $y_m = \bar{y}_m$, then $D_{n_{\xi_1}}(a_1 y_m) \wedge \dots \wedge D_{n_{\xi_f}}(a_f y_m) \wedge P(y_m) \wedge D_{<}(\dots, a y_m)$ is true for $y_m = \tilde{y}_m$, for some \tilde{y}_m of sufficiently large degree. Hence the formula that results from φ by deleting all atomic formulae in which y_m occurs with non-zero coefficient, is equivalent to φ .

In the case that (13) has no solution, the formula φ is not satisfiable.

Hence we assume

H4: Every variable that occurs in φ is bounded.

5. With notation as in the conclusion of Lemma 2.6, we augment $K \cup \Omega$ by the set N which consists of the terms $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$, $\pi'_{1,\rho}(\bar{y}) + \pi'_{2,\rho}(\bar{\omega})$, $\chi'_{1,\xi}(\bar{y}) + \chi'_{2,\xi}(\bar{\omega})$ that occur in the formulas φ_4 and φ_6 . We take a disjunction over all possible extensions of the ordering τ to a linear ordering of the set of degrees of elements of the set $K \cup \Omega \cup N$. So from now on we assume

H5: The ordering τ implies a linear ordering of the degrees of the elements of the set $K \cup \Omega \cup N$.

6. Now observe that for any term d the formula $D_{n_1}(d) \wedge D_{n_2}(d)$ is equivalent (over $\mathbb{F}_q[t]$) to the formula $D_{\text{lcm}(n_1, n_2)}(d)$, where $\text{lcm}(n_1, n_2)$ stands for the least common multiple of n_1 and n_2 . Moreover, for any term d $D_1(d)$ holds trivially. So we assume

H6: For each term $d \in K \cup \Omega \cup N$ there is exactly one relation of the form $D_n(d)$.

7. Consider τ to be a linear ordering of the degrees of the elements of the set $K \cup \Omega \cup N$ as defined above. With notation as in the conclusion of Lemma 2.6, let $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega}) \in N$. Note that $\pi_{1,\rho}(\bar{y}) = a_1 y_{i_1} + \dots + a_r y_{i_r}$, for some $a_j y_{i_j} \in K$, with $\deg(a_j y_{i_j}) < \deg(a_{j+1} y_{i_{j+1}})$, for all $j = 1, \dots, r-1$. Consider the following cases:
- (a) If $\deg(a_r y_{i_r}) \neq \deg(\pi_{2,\rho}(\bar{\omega}))$, then we remove $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ from N and replace $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ in φ by the one of $a_r y_{i_r}$, $\pi_{2,\rho}(\bar{\omega})$, whose degree is bigger.
- (b) If $\deg(\pi_{1,\rho}(\bar{y})) = \deg(\pi_{2,\rho}(\bar{\omega}))$, then there are the following cases:
- (b₁) There is no cancellation of highest-degree terms, i.e., $\deg(\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})) = \deg(\pi_{2,\rho}(\bar{\omega}))$. Then modify φ_4 , by replacing each occurrence of $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ by $\pi_{2,\rho}(\bar{\omega})$, remove $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ from N and add to φ_4 the atomic formula $D_=(a_r y_{i_r}, \pi_{2,\rho}) \wedge D_=(a_r y_{i_r} + \pi_{2,\rho}, \pi_{2,\rho})$
- (b₂) Let $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega}) = 0$. Then replace φ_1 by $\varphi_1 \wedge \pi_{1,\rho}(\bar{y}) = -\pi_{2,\rho}(\bar{\omega})$ and modify φ_4 , by replacing each occurrence of $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ by 0.
- (b₃) Let $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega}) \neq 0$. From the assumptions we have in this remark, there is $\beta \in \{0, 1, \dots, r-1\}$ such that

$$\deg(a_\beta y_{i_\beta}) < \deg(\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})) < \deg(a_{\beta+1} y_{i_{\beta+1}}),$$

where $a_0 y_{j_0} = 0$. Then modify φ_4 , by replacing each occurrence of $\pi_{1,\rho}(\bar{y}) + \pi_{2,\rho}(\bar{\omega})$ by $a_{\beta+1} y_{i_{\beta+1}} + \dots + a_r y_{i_r} + \pi_{2,\rho}(\bar{\omega})$.

Similarly, we repeat the above procedure for all $\pi'_{1,\rho}(\bar{y}) + \pi'_{2,\rho}(\bar{\omega}), \chi'_{1,\xi}(\bar{y}) + \chi'_{2,\xi}(\bar{\omega}) \in N$ with notation as in the conclusion of Lemma 2.6.

H7: Each element of N is of the form $a_1 y_1 + \dots + a_n y_n + \omega$, for some $a_i y_i \in K$, $\omega \in \Omega$ such that the relation $C_{(a_1, \dots, a_n)}(\omega)$ holds.

8. Now we change the notation as follows: We rewrite the variables of \bar{y} as (\bar{y}, \bar{z}) , where $\bar{y} = (y_1, \dots, y_\ell)$ and $\bar{z} = (z_1, \dots, z_{m-\ell})$, in such a way that
- Each variable y_i occurs (with non-zero coefficient, a) in an equation (of φ_1) or in some element of the set N or $D_=(a y_i, \omega)$ holds for some $\omega \in \Omega$.
 - No variable z_j occurs in φ_1 nor in any element of the set N nor any atomic subformulae of the form $D_=(a z_i, \omega)$ occur for any $\omega \in \Omega$.

Proposition 3.5. Let $a_1, \dots, a_n \in \mathbb{F}_q[t]$. Consider a formula $\psi_n(y_1, \dots, y_n, \omega)$ of the form

$$(14) \quad D_<(a_1 y_1 + \dots + a_n y_n + \omega, a_1 y_1) \wedge \bigwedge_{i=1}^n P(y_i) \wedge \bigwedge_{i=1}^{n-1} D_<(a_i y_i, a_{i+1} y_{i+1}) \wedge D_=(a_n y_n, \omega)$$

Given ω , if there are some $y_1, \dots, y_n \in \mathbb{F}_q[t]$ which satisfy ψ_n , then they are unique.

Proof. Let $a_1, \dots, a_n, \omega \in \mathbb{F}_q[t]$. Consider any $y_1, \dots, y_n, y'_1, \dots, y'_n \in \mathbb{F}_q[t]$ such that the formula $\psi_n(y_1, \dots, y_n, \omega) \wedge \psi_n(y'_1, \dots, y'_n, \omega)$ holds true. Therefore $\deg(a_n y_n) = \deg(\omega) = \deg(a_n y'_n)$ and $P(y_n) \wedge P(y'_n)$. Thus $y_n = y'_n$ and $\psi_n(y_1, \dots, y_n, \omega)$ is equivalent to $\psi_{n-1}(y_1, \dots, y_{n-1}, \omega + y_n)$. The conclusion follows by induction on n . \square

Lemma 3.6. *Every formula φ which is as in the conclusion of Lemma 2.6 is equivalent to a disjunction of formulas of the form*

$$(15) \quad \varphi'_0 \wedge \forall \bar{y} [\varphi_1(\bar{y}, \bar{\omega}) \wedge \varphi'_4(\bar{y}, \bar{\omega}) \wedge \varphi_3(\bar{y}) \rightarrow \exists \bar{z} [\varphi_3(\bar{z}) \wedge \varphi_4(\bar{y}, \bar{z}, \bar{\omega}) \wedge \varphi_6(\bar{y}, \bar{z}, \bar{\omega})]]$$

where φ'_0 is a quantifier-free formula, φ'_4 as given in **H2** and $\varphi_1, \dots, \varphi_6$ are as in the conclusion of Lemma 2.6 but with the variables \bar{y} replaced by the tuple (\bar{y}, \bar{z}) (with the convention on z as in the last paragraph before Proposition 3.5).

Proof. Let $\psi_1(x)$ and $\psi_2(x)$ be formulae (of any language) with free variables among those of x (x is a tuple of variables). Assume that whenever $\psi_1(x)$ is satisfied by some $x = a$, then this value of x is unique (no other value satisfies ψ_1). Then

$$\exists x [\psi_1(x) \wedge \psi_2(x)] \text{ is equivalent to } [\exists x \psi_1(x) \wedge \forall x (\psi_1(x) \rightarrow \psi_2(x))]$$

(the verification of this trivial fact is left to the reader). Therefore, in order to prove the Lemma it suffices to show that whenever there is a \bar{y} so that $\varphi_1(\bar{y}, \bar{\omega}) \wedge \varphi_4(\bar{y}, \bar{\omega}) \wedge \varphi_3(\bar{y})$ holds true, then that is unique. The uniqueness of \bar{y} follows by Propositions 3.4 and 3.5. While the existence of \bar{y} is implied by φ'_0 , which is the following quantifier-free formula of L_Q .

$$\varphi_0 \wedge \bigwedge_{(\bar{a}, \bar{\omega}) \in \bar{N}} Q_{\bar{a}}(\bar{\omega}) \wedge \bigwedge_{(\bar{a}, \bar{\omega}) \in \bar{\Phi}_1} D_{\bar{a}}(\bar{\omega}),$$

where $\bar{N} = \{((a_1, \dots, a_n), \bar{\omega}) : n \in \mathbb{N} \text{ and there are some variables } y_{i_1}, \dots, y_{i_n} \text{ and a polynomial } \pi \text{ such that } a_1 y_{i_1} + \dots + a_n y_{i_n} + \pi(\bar{\omega}) \in N\}$,

$\bar{\Phi}_1 = \{((a_1, \dots, a_n), \bar{\omega}) : n \in \mathbb{N} \text{ and there are some variables } y_{i_1}, \dots, y_{i_n} \text{ and a polynomial } h \text{ such that } a_1 y_{i_1} + \dots + a_n y_{i_n} = h(\bar{\omega}) \text{ is a sub-formula of } \varphi_1\}$. \square

Remark 9. Consider the following formula $S(\omega_1, \omega_2, z_1, \dots, z_\zeta)$:

$$\deg(\omega_1) < \deg(a_{1,1}z_1) < \dots < \deg(a_{1,r_1}z_1) < \dots < \deg(a_{\zeta,r_\zeta}z_\zeta) < \deg(\omega_2) \wedge$$

$$\bigwedge_{i,j} D_{n_{i,j}}(a_{i,j}z_i) \wedge \bigwedge_i P(z_i).$$

We define *size* between two consecutive terms of the sequence S as follows:

(16)

$$\text{size}(s_j, s_{j+1}) = \begin{cases} n_{1,1} - \text{rem}(\deg(s_j), n_{1,1}), & \text{if } s_j = \omega_1, \\ \deg(a_{i,k+1}) - \deg(a_{i,k}), & \text{if } s_j = a_{i,k}z_i, s_{j+1} = a_{i,k+1}z_i, \text{ for some } i \\ 1, & \text{if } s_{j+1} = \omega_2, \\ n_{i+1,1} - \text{rem}(\deg(\omega_1) + \sum_{i=1}^j \text{size}(s_{i-1}, s_i), n_{j+1}), & \text{if } s_j = a_{i,k}z_i, s_{j+1} = a_{i+1,1}z_{i+1}. \end{cases}$$

Define *size* of S to be the sum of $\text{size}(s_j, s_{j+1})$, i.e.,

$$\text{size}(S) = \sum_j \text{size}(s_j, s_{j+1}).$$

The key in this notion is that *size* actually describes the least difference of powers that terms should have, in order to satisfy restrictions on degrees. Thus

$\exists z_1, \dots, z_n S(\omega_1, \omega_2, z_1, \dots, z_n)$ is equivalent to an open formula which describes that every system

$$\bigwedge_j a_{i,j} + X_i \equiv 0 \pmod{n_{i,j}}$$

has a solution and $\deg(\omega_1 t^{\text{size}(S)}) < \deg(\omega_2)$.

Lemma 3.7. *Every formula ψ of the form*

$$\exists \bar{z} = (z_1, \dots, z_\zeta) [\varphi_3(\bar{z}) \wedge \varphi_4(\bar{z}, \bar{\omega}) \wedge \varphi_6(\bar{z}, \bar{\omega})]$$

where φ_3, φ_4 and φ_6 are as in the conclusion of Lemma 2.6, is equivalent to some quantifier-free L_Q -formula.

Proof. According to Remark 8, the formula φ_4 is equivalent to a formula of the form φ'_4 as given in **H2**, but with relation D_- omitted. By **H4** there are some $\omega_1, \dots, \omega_\gamma \in \Omega \cup \{0\}$ such that $\varphi_3(\bar{z}) \wedge \varphi'_4(\bar{z}, \bar{\omega}) \wedge \varphi_6(\bar{z}, \bar{\omega})$ is interpreted as a sequence of the form $(s_j)_{0 \leq j \leq r}$, with the following properties:

- $s_r = \omega_\gamma \neq 0$,
- for all $j < r$ each s_j is either an element of $\{\omega_1, \dots, \omega_{\gamma-1}\}$ or of the form $az_i \in K$ and
- for all $j < r$ $\deg(s_j) < \deg(s_{j+1})$.

Note that for reasons pointed out in Remark 2, every variable z_i that appears in the sequence, there are unique j, j' such that $s_j, s_{j'}$ are consecutive elements of $\{\omega_1, \dots, \omega_\gamma\}$ and $\deg(s_j) < \deg(az_i) < \deg(s_{j'})$. According to **H6** for each s_i , there is unique $n_i \in \mathbb{N}$ such that $D_{n_i}(s_i)$ holds. Therefore, there are some \bar{z} which satisfy $\varphi_3(\bar{z}) \wedge \varphi'_4(\bar{z}, \bar{\omega}) \wedge \varphi_6(\bar{z}, \bar{\omega})$ if and only if the difference in degrees of ω_i and ω_{i+1} is big enough and the corresponding systems of divisibility to have a solution, as described in Remark 9. □

Proposition 3.8. *Given an existential formula as in Lemma 2.6, it is equivalent to a universal formula.*

Proof. Let σ be in a form given in Lemma 2.6. Combining the two basic Lemmas 3.6 and 3.7, we deduce the main result of this section. □

As a corollary of Proposition 3.8 we obtain the main theorem.

Theorem 3.9. *The ring theory in L_Q is model complete, therefore it is decidable.*

Corollary 3.10. *The theory $(\mathbb{F}_q[t]; +; |; P; f_t; 0, 1, t)$ is decidable.*

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