

# ON APPROXIMATELY $s$ -CONVEX FUNCTIONS

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ABSTRACT. A real valued function  $f : D \rightarrow \mathbb{R}$  defined on an open convex subset  $D$  of a normed space  $X$  is called *Breckner rationally  $(s, d)$ -convex* if it satisfies

$$f(tx + (1-t)y) \leq t^s f(x) + (1-t)^s f(y) + d(x, y)$$

for all  $x, y \in D$  and  $t \in \mathbb{Q} \cap [0, 1]$ , where  $d : X \times X \rightarrow \mathbb{R}$  is a given function and  $s \in ]0, 1[$  is a fixed parameter.

Our main result is a Bernstein-Doetsch type one. Namely, we prove that, under some natural assumptions, if  $f$  is locally bounded from above at a point of  $D$  and Breckner rationally  $(s, d)$ -convex then it is Breckner  $(s, d)$ -convex.

## 1. INTRODUCTION

It is a well known fact that convexity and its generalization plays important role in different part of mathematics, mainly in optimization theory. In our paper we deal with a common generalization of  $s$ -convexity, approximate convexity, and results of Bernstein and Doetsch [BD15].

The concept of  $s$ -convexity and rational  $s$ -convexity was introduced by Breckner [Bre78]. A real valued function  $f : D \rightarrow \mathbb{R}$  (where  $D$  is a convex, open, nonempty subset of a real (complex) linear space  $X$ ) is called *Breckner  $s$ -convex* (or briefly  *$s$ -convex*), if

$$f(\lambda x + (1-\lambda)y) \leq \lambda^s f(x) + (1-\lambda)^s f(y) \quad (1)$$

for every  $x, y \in D$  and  $\lambda \in [0, 1]$ , where  $s \in ]0, 1[$  is a fixed number. A real valued function  $f : D \rightarrow \mathbb{R}$  is called *rationally  $s$ -convex* if it fulfills (1) for all  $\lambda \in \mathbb{Q} \cap [0, 1]$ .

This concept is a real generalization of convexity, we get it from (1) with  $s = 1$ .

In [BO78] and [HM94] it was proved that  $s$ -convex functions are nonnegative, when  $0 < s < 1$ , moreover the set of  $s$ -convex functions increases as  $s$  decreases (see also [BHJ09] for further information).

We investigate, similarly to [Haz07], the following generalizations of this convexity property. Let  $s \in ]0, 1[$  be a fixed parameter and let the function  $d : X \times X \rightarrow \mathbb{R}$  be given. A function  $f : D \rightarrow \mathbb{R}$  is said to be *Breckner  $(s, d)$ -convex* (or shortly  *$(s, d)$ -convex*) if

$$f(tx + (1-t)y) \leq t^s f(x) + (1-t)^s f(y) + d(x, y) \quad (2)$$

for all  $x, y \in D$  and  $t \in [0, 1]$ .

A real valued function  $f : D \rightarrow \mathbb{R}$  is called *Breckner rationally  $(s, d)$ -convex* (or *rationally  $(s, d)$ -convex*) (in notation  $(\mathbb{Q}, s, d)$ -convex) if it fulfills (2) for all  $t \in \mathbb{Q} \cap [0, 1]$ , and it is called *Breckner  $(t, s, d)$ -convex* (or  *$(t, s, d)$ -convex*) if it fulfills (2) for a fixed parameter  $t \in ]0, 1[$ .

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In our investigation we assume that the following natural properties of the function  $d : X \times X \rightarrow \mathbb{R}$  hold, namely,

- (i)  $d(x, y) \geq 0$ ,
- (ii)  $d(x, y) = d(y, x)$ ,
- (iii)  $d(x, y) \leq d(x, z) + d(z, y)$ ,
- (iv)  $d(x + z, y + z) = d(x, y)$ ,
- (v)  $d(ux, uy) \leq \psi(u)d(x, y)$

for all  $x, y, z \in X$  and  $u > 0$ , where  $\psi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ . The first three properties declare that  $d$  is a semimetric on  $X$ , (iv) states the translation invariance of  $d$  and (v) is the subhomogeneity of  $d$  with respect to  $\psi$ . If (i) – (v) hold, then we say that  $d$  is a  $\psi$ -subhomogeneous, translation invariant semimetric.

It is easy to see that all nonnegative constant functions satisfies the properties (i) – (v), with  $\psi = 1$  and the function  $d(x, y) = \|x - y\|^p$  (where  $p \geq 1$ ) is also  $\psi$ -subhomogeneous, translation invariant semimetric functions, with  $\psi(u) = u^p$ .

In Section 2 we collect some auxiliary result. In Section 3 and 4 we prove some boundedness, continuity and convexity property of approximately Breckner  $s$ -convex functions. As the main results of our paper we show that Breckner  $(t, s, d)$ -convexity and locally upper boundedness of a function at a point of its domain implies local boundedness and continuity on the whole domain; and rational  $(s, d)$ -convexity and local boundedness from above at a point of  $D$  implies Breckner  $(s, d)$ -convexity.

## 2. KNOWN RESULTS

Throughout this paper let  $(X, \|\cdot\|)$  be a normed space and  $D \subseteq X$  a nonempty open convex set.

Bernstein and Doetsch in [BD15] proved that the local upper boundedness of a Jensen-convex function yields its continuity and convexity as well. Breckner in [Bre78] proved the following:

**Theorem 1.** *Let  $f : D \rightarrow \mathbb{R}$  be a rationally  $s$ -convex function. If it is locally bounded from above at a point of  $D$ , then it is continuous and  $s$ -convex.*

In [BHJ09] was proved the following:

**Theorem 2.** *Let  $H \subseteq [0, 1]$  and assume that 0 or 1 is an accumulation point of  $H$ . If  $f : D \rightarrow \mathbb{R}$  is locally bounded at a point of  $D$ , and satisfies (1) for all  $t \in H$ , then it is continuous on  $D$ .*

The approximately usual convexity (when  $s = 1$ ) was investigated in several papers. The particular case, the so called  $(t, 1, d)$ -convexity (where  $d$  is a  $\psi$ -subhomogeneous, translation invariant semimetric), was studied in [Haz07]:

**Theorem 3.** *If  $f : D \rightarrow \mathbb{R}$  satisfies the inequality*

$$f(tx + (1 - t)y) \leq tf(x) + (1 - t)f(y) + d(x, y)$$

for all  $x, y \in D$ , where  $t \in ]0, 1[$  is fixed, and locally bounded from above at a point of  $D$ , where the function  $d$  is a  $\psi$ -subhomogeneous, translation invariant semimetric, then

$$f(\lambda x + (1 - \lambda)y) \leq \lambda f(x) + (1 - \lambda)f(y) + \varphi(\lambda)d(x, y) \tag{3}$$

for all  $x, y \in D$  and  $\lambda \in [0, 1]$ , where  $\varphi$  the unique and existing fixed point of the operator

$$(\mathcal{T}_{\psi, t}\varphi)(\lambda) = (1 - t)\varphi\left(\frac{\min(\lambda, 1 - \lambda)}{1 - t}\right) + \psi\left(\frac{\min(\lambda, 1 - \lambda)}{1 - t}\right).$$

The particular case of this result, when  $d(x, y) = \sum_{i=0}^k \varepsilon_i \|x - y\|^{p_i}$ , was studied in [HP05]. When  $d(x, y) = \varepsilon_0 + \varepsilon_1 \|x - y\|^p$  is formally a special case of the previous one, however a stronger result was obtained in [Haz05] in this setting. If  $d(x, y) = \varepsilon_0 + \varepsilon_1 \|x - y\|$  and  $t = 1/2$ , then the operator fixed point can be explicitly computed in the terms of the so-called Takagi function (see [HP04]). The case  $d(x, y) = \varepsilon_0$  and  $t = 1/2$  was studied by Nikodem and Ng [NN93], the specialization  $d(x, y) = 0$  yields the theorem of Bernstein and Doetsch [BD15] (see also [Kuc85] for further references).

### 3. REGULARITY PROPERTIES OF APPROXIMATELY BRECKNER $s$ -CONVEX FUNCTIONS

We deal with boundedness and continuity properties of Breckner  $(t, s, d)$ -convex and  $(\mathbb{Q}, s, d)$ -convex functions at first. We recall that a function  $f : D \rightarrow \mathbb{R}$  is called locally bounded from above on  $D$ , if for each point of  $D$ , there exists a neighborhood  $U$  of this point such that  $f$  is bounded from above on  $U$ .

**Theorem 4.** *Assume that the function  $d$  is a continuous,  $\psi$ -subhomogeneous, translation invariant semimetric. If  $f : D \rightarrow \mathbb{R}$  is Breckner  $(t, s, d)$ -convex and locally bounded from above at a point  $w \in D$ , then  $f$  is locally bounded on  $D$ .*

*Proof.* First we prove that  $f$  is locally bounded from above on  $D$ . Define the sequence of sets  $D_n$  by

$$D_0 := \{w\}, \quad D_{n+1} := tD_n + (1-t)D.$$

Then, it follows by induction that

$$D_n = t^n w + (1-t^n)D.$$

Using induction on  $n$ , we prove that  $f$  is locally upper bounded at each point of  $D_n$ . By assumption  $f$  is locally upper bounded at  $w \in D_0$ . Assume that  $f$  is locally upper bounded at each point of  $D_n$ . For an arbitrary  $x \in D_{n+1}$ , there exist  $x_0 \in D_n$  and  $y_0 \in D$  such that  $x = tx_0 + (1-t)y_0$ . By the inductive assumption, there exists  $r > 0$  and constant  $M_0 \geq 0$  such that  $f(x') \leq M_0$  and  $d(x', x_0) \leq M_0$  for all  $x' \in B(x_0, r)$ , where  $B(x_0, r)$  denotes the open neighborhood centered at  $x_0$  with radius  $r$ . Because of the continuity of  $d$ , we can choose  $r$  such that the previous will be true. Then, by the  $(t, s, d)$ -convexity of  $f$ , we have

$$\begin{aligned} f(tx' + (1-t)y_0) &\leq t^s f(x') + (1-t)^s f(y_0) + d(x', y_0) \\ &\leq t^s M_0 + (1-t)^s f(y_0) + d(x', x_0) + d(x_0, y_0) \\ &\leq t^s M_0 + (1-t)^s f(y_0) + M_0 + d(x_0, y_0) =: M. \end{aligned}$$

Therefore, for  $y \in U := tB(x_0, r) + (1-t)y_0 = B(tx_0 + (1-t)y_0, tr) = B(x, tr)$ , we get that  $f(y) \leq M$ . Thus  $f$  is locally bounded above at  $x \in D_{n+1}$ , so  $f$  is locally bounded above on  $D_{n+1}$ .

On the other hand, one can easily see that

$$D = \bigcup_{n=1}^{\infty} D_n.$$

Indeed, for fixed  $x \in D$ , define the sequence  $x_n$  by

$$x_n := \frac{x - t^n w}{1 - t^n}.$$

Then  $x_n \rightarrow x$  if  $n \rightarrow \infty$ . As the set is open, so there exists an  $n_0 \in \mathbb{N}$ , such that  $x_n \in D$  if  $n \geq n_0$ . Therefore

$$x = t^n w + (1-t^n)x_n \in t^n w + (1-t^n)D = D_n.$$

Thus  $f$  is locally bounded from above on  $D$ .

We prove now that  $f$  is locally bounded from below. Let  $q \in D$  be arbitrary. Since  $f$  is locally bounded from above at the point  $q$ , there exist  $\varrho > 0$  and  $M > 0$  such that  $f(x) \leq M$  and  $d(x, q) \leq M$  if  $x \in B(q, \varrho)$ . (Just like in the first part of the proof, we can find such  $\varrho$ , using the continuity of  $d$ .) Let  $x \in B(q, (1-t)\varrho)$  and  $y := \frac{1}{1-t}q - \frac{t}{1-t}x$ . Then  $y$  is in  $B(q, t\varrho) \subset B(q, \varrho)$ . Then, by  $(t, s, d)$ -convexity of  $f$ , and by  $\psi$ -subhomogeneity and translation invariance of  $d$ , we get

$$\begin{aligned} f(q) &= f(tx + (1-t)y) \leq t^s f(x) + (1-t)^s f(y) + d(x, y) \\ &\leq t^s f(x) + (1-t)^s f(y) + \psi\left(\frac{1}{1-t}\right) d(x, q), \end{aligned}$$

which implies

$$\begin{aligned} f(x) &\geq \frac{1}{t^s} f(q) - \frac{(1-t)^s}{t^s} f(y) - \frac{1}{t^s} \psi\left(\frac{1}{1-t}\right) d(x, q) \\ &\geq \frac{1}{t^s} f(q) - \frac{(1-t)^s}{t^s} M - \frac{1}{t^s} \psi\left(\frac{1}{1-t}\right) M =: M^*. \end{aligned}$$

Therefore  $f$  is locally bounded from below at any point of  $D$ .  $\square$

**Corollary 1.** *If  $f : D \rightarrow \mathbb{R}$  is Breckner  $(\mathbb{Q}, s, d)$ -convex and locally bounded from above at a point of  $D$  (where  $d$  is a continuous,  $\psi$ -subhomogeneous, translation invariant semimetric), then  $f$  is locally bounded on  $D$ .*

The next statement is an immediate consequence of the previous theorem and Steinhaus' and Piccard's theorems (cf. [Ste20], [Pic42]).

**Corollary 2.** *Let  $D$  be an open convex subset of  $\mathbb{R}^n$  and  $f : D \rightarrow \mathbb{R}$  be a  $(t, s, d)$ -convex (or  $(\mathbb{Q}, s, d)$ -convex) function. Assume that the function  $d$  is continuous,  $\psi$ -subhomogeneous, translation invariant semimetric and there exist a Lebesgue-measurable set of positive measure (or a Baire-measurable set of second category)  $S \subseteq D$  and a Lebesgue-measurable (resp. Baire-measurable) function  $g : S \rightarrow \mathbb{R}$  such that  $f \leq g$  on  $S$ . Then  $f$  is locally bounded on  $D$ .*

The next result states that the local upper boundedness of a rationally  $(s, d)$ -convex function at a point of  $D$  yields its continuity at this point as well.

**Theorem 5.** *Assume that the function  $d$  is a continuous,  $\psi$ -subhomogeneous, translation invariant semimetric and  $d(x, x) = 0$ . If  $f : D \rightarrow \mathbb{R}$  is  $(\mathbb{Q}, s, d)$ -convex and locally bounded from above at a point  $w \in D$ , then it is continuous at  $w$ .*

*Proof.* Using the local boundedness from above of  $f$  at a point  $w \in D$ , there exist a neighborhood  $B(w, r)$  and a constant  $K \geq 0$  such that  $f(x) \leq K$  for every  $x \in B(w, r)$ . Let  $\varepsilon$  be an arbitrary positive constant. Then there exists  $n_0 \in \mathbb{N}$  such that if  $n \geq n_0$  is an arbitrarily fixed positive integer, then

$$\left(\frac{1}{n}\right)^s K + \left[\left(1 - \frac{1}{n}\right)^s - 1\right] f(w) < \frac{\varepsilon}{4}, \quad (4)$$

$$\left(\frac{1}{n-1}\right)^s K + \left[1 - \frac{1}{\left(1 - \frac{1}{n}\right)^s}\right] f(w) < \frac{\varepsilon}{4} \quad (5)$$

and

$$\frac{2}{\left(1 - \frac{1}{n}\right)^s} < 3. \quad (6)$$

Let  $r_1 = \min\{r, \frac{\varepsilon}{4}\}$ . By the continuity of  $d$  and using the assumption  $d(w, w) = 0$ , there exists  $r'_1 < r_1$  such that  $d(x, w) < r_1$  if  $x \in B(w, r'_1)$ , and let  $\delta < \frac{r'_1}{n}$ . We prove that

$$|f(x) - f(w)| < \varepsilon \quad (x \in B(w, \delta)).$$

For  $x \in B(w, \delta)$  there exist  $y, z \in B(w, r'_1)$  such that

$$\begin{aligned} x &= \frac{1}{n}y + \left(1 - \frac{1}{n}\right)w, & \text{so} & \quad y = nx - (n-1)w \\ w &= \frac{1}{n}z + \left(1 - \frac{1}{n}\right)x, & \text{so} & \quad z = nw - (n-1)x. \end{aligned}$$

Indeed,

$$\|y - w\| = \|nx - nw\| = n\|x - w\| \leq n\delta < r'_1,$$

and similarly

$$\|z - w\| = \|(n-1)(x - w)\| = (n-1)\|x - w\| \leq (n-1)\delta < r'_1;$$

that is  $y, z \in B(w, r'_1)$ .

According to  $(\mathbb{Q}, s, d)$ -convexity of  $f$ ,

$$\begin{aligned} f(x) &\leq \left(\frac{1}{n}\right)^s f(y) + \left(1 - \frac{1}{n}\right)^s f(w) + d(y, w) \\ &\leq \left(\frac{1}{n}\right)^s K + \left(1 - \frac{1}{n}\right)^s f(w) + r_1, \end{aligned} \tag{7}$$

and

$$\begin{aligned} f(w) &\leq \left(\frac{1}{n}\right)^s f(z) + \left(1 - \frac{1}{n}\right)^s f(x) + d(z, x) \\ &\leq \left(\frac{1}{n}\right)^s K + \left(1 - \frac{1}{n}\right)^s f(x) + d(z, w) + d(w, x) \\ &\leq \left(\frac{1}{n}\right)^s K + \left(1 - \frac{1}{n}\right)^s f(x) + 2r_1. \end{aligned} \tag{8}$$

Using (7) and (4) we get

$$\begin{aligned} f(x) - f(w) &\leq \left(\frac{1}{n}\right)^s K + \left[\left(1 - \frac{1}{n}\right)^s - 1\right] f(w) + r_1 \\ &< \frac{\varepsilon}{4} + \frac{\varepsilon}{4} < \varepsilon \end{aligned} \tag{9}$$

and using the inequality (8) we get

$$f(x) \geq \frac{f(w) - \left(\frac{1}{n}\right)^s K - 2r_1}{\left(1 - \frac{1}{n}\right)^s},$$

which together with (5) imply that

$$\begin{aligned} f(x) - f(w) &\geq \left[\frac{1}{\left(1 - \frac{1}{n}\right)^s} - 1\right] f(w) - \left(\frac{1}{n-1}\right)^s K - \frac{2r_1}{\left(1 - \frac{1}{n}\right)^s} \\ &> -\left(\frac{\varepsilon}{4} + \frac{2}{\left(1 - \frac{1}{n}\right)^s} \frac{\varepsilon}{4}\right). \end{aligned}$$

According to (6) we get

$$f(x) - f(w) > -\left(\frac{\varepsilon}{4} + 3\frac{\varepsilon}{4}\right) = -\varepsilon. \quad (10)$$

The inequalities (9) and (10) show that  $|f(x) - f(w)| < \varepsilon$ , that is  $f$  is continuous at  $w$ , so the proof is complete.  $\square$

**Remark 1.** *This result is not true for  $(t, s, d)$ -convex functions. In the case, when  $d(x, y) = 0$  for all  $x, y \in D$ , in [BHJ09] an example was given, which shows that the Breckner  $(1/2, s, 0)$ -convexity and locally upper boundedness do not imply the continuity of the function. For the reader's convenience we repeat this example: Let*

$$f(x) := \begin{cases} x^s, & \text{if } x \in ](2^s - 1)^{1/s}, 1[ \setminus \mathbb{Q}; \\ 1, & \text{if } x \in ](2^s - 1)^{1/s}, 1[ \cap \mathbb{Q}. \end{cases}$$

Then  $f$  is Breckner  $(1/2, s, 0)$ -convex, bounded and nowhere continuous.

**Theorem 6.** *Assume that the function  $d$  is a continuous, translation invariant semimetric and  $d(x, x) = 0$ . If  $f : D \rightarrow \mathbb{R}$  is Breckner rationally  $(s, d)$ -convex or Breckner  $(s, d)$ -convex and locally bounded from above at a point of  $D$ , then it is continuous.*

*Proof.* According to Theorem 4,  $f$  is locally bounded at every point of  $D$ . So, we can use the previous theorem, which implies the continuity of  $f$  at every point of  $D$ .  $\square$

#### 4. CONVEXITY PROPERTY OF $(\mathbb{Q}, s, d)$ -CONVEX FUNCTIONS

The following result offers a generalization of the theorem of Breckner [Bre78] presented in the introduction.

**Theorem 7.** *Assume that the function  $d$  is a continuous,  $\psi$ -subhomogeneous, translation invariant semimetric and  $d(x, x) = 0$ . If  $f : D \rightarrow \mathbb{R}$  is  $(\mathbb{Q}, s, d)$ -convex and locally bounded from above at a point  $w \in D$ , then it is Breckner  $(s, d)$ -convex.*

*Proof.* We prove that the function  $f$  is  $(t, s, d)$ -convex for all  $t \in [0, 1]$ . Let  $t \in [0, 1]$  arbitrary. Then there exists a sequence  $\{t_n\}_{n \in \mathbb{N}}$  such that  $t_n \in \mathbb{Q}$  and  $t_n \rightarrow t$  (when  $n$  tends to  $\infty$ ). Applying  $(\mathbb{Q}, s, d)$ -convexity of  $f$ , we get

$$f(t_n x + (1 - t_n)y) \leq t_n^s f(x) + (1 - t_n)^s f(y) + d(x, y). \quad (11)$$

The local upper boundedness of  $f$  implies the continuity of  $f$  (according to Theorem 6). Therefore, taking the limit  $n \rightarrow \infty$  in (11), we get

$$f(tx + (1 - t)y) \leq t^s f(x) + (1 - t)^s f(y) + d(x, y),$$

which proves the Breckner  $(s, d)$ -convexity of  $f$ .  $\square$

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